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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Karsten Schulz, et al. Art Unit : 2122  
Serial No. : 10/628,565 Examiner : Unknown  
Filed : July 29, 2003  
Title : WORKFLOW MANAGEMENT ARCHITECTURE

**MAIL STOP AMENDMENT**

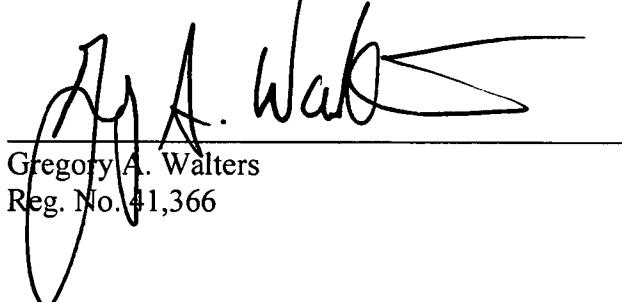
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**INFORMATION DISCLOSURE STATEMENT**

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office Action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

  
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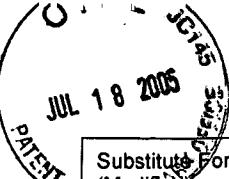
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Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 13909-026001	Application No. 10/628,565
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Karsten Schulz, et al.			
		Filing Date July 29, 2003	Group Art Unit 2122		

<b>U.S. Patent Documents</b>							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate

<b>Foreign Patent Documents or Published Foreign Patent Applications</b>							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes No

<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>		
Examiner Initial	Desig. ID	Document
	AA	Aissi, S. et al, "E-Business Process Modeling: The Next Big Step," IEEE Computer Journal, Vol. 35, No. 5, May 2002, pp. 55-62.
	AB	Arkin, A., "Business Process Modeling Language (BPML) 1.0 Last Working Draft," BPM.org Technical Report, 2002, 98 pgs.
	AC	Arkin, A. et al, "Web Service Choreography Interface (WSCI) 1.0 Specification," <a href="http://www.sun.com/software/xml/developers/wsci/">http://www.sun.com/software/xml/developers/wsci/</a> , Sun Microsystems Technical Report, 2002.
	AD	Arnold, D. et al, "Discourse with Disposable Computers: How and Why You Will Talk to Your Tomatoes," Usenix Workshop on Embedded Systems, Cambridge, Massachusetts, 1999, 15 pgs.
	AE	Arsenault et al, "Internet x.509 Public Key Infrastructure: Roadmap," IETF Technical Report, 2002.
	AF	Atkinson, B. et al, "Web Services Security (WS-Security) 1.0," Technical Report, IBM, Verisign, Microsoft, 2002.
	AG	Becker, J. et al, "Towards a Classification Framework for Application Granularity in Workflow Management Systems," Proceedings of the Eleventh International Conference on Advanced Information Systems Engineering, Heidelberg, Germany, 1999, pp. 411-416.
	AH	BPMI.org, BPML XML Schema, <a href="http://www.bpmi.org/2001/3/BPML.xsd">http://www.bpmi.org/2001/3/BPML.xsd</a> , Technical Report, 2001.
	AI	Casati, F. et al, "Eflow: a Platform for Developing and Managing Composite E-Services," <a href="http://www.hpl.hp.com/techreports/2000/HPL-2000-36.pdf">http://www.hpl.hp.com/techreports/2000/HPL-2000-36.pdf</a> , Hewlett Packard Technical Report, 2000, 8 pgs.
	AJ	Chen, Q., "Inter-Enterprise Collaborative Business Process Management," <a href="http://www.hpl.hp.com/techreports/2000/HPL-2000-107.pdf">http://www.hpl.hp.com/techreports/2000/HPL-2000-107.pdf</a> , HP Labs Palo Alto Technical Report, 2000, 18 pgs.
	AK	Cisco, "White Paper IPSEC – Executive Summary," Technical Report, 2000.
	AL	Curbera, F. et al, "Business Process Execution Language for Web Services, Version 1.0," Technical Report, IBM, BEA Systems, Microsoft, 2002.
	AM	DSTC Pty Ltd., "UML Profile for Enterprise Distributed Object Computing (EDOC)," <a href="http://ftp.omg.org/pub/docs/ad/99-10-07.pdf">http://ftp.omg.org/pub/docs/ad/99-10-07.pdf</a> , Technical Report, 1999, 92 pgs.
	AN	ebXML, "ebXML Technical Architecture Specification v1.0.4," Technical Report, 2001, 39 pgs.
	AO	ebXML, "Requirements Specification Version 1.0," Technical Report, 2000, 31 pgs.
	AP	Klingemann, J. et al, "Adaptive Outsourcing in Cross-Organizational Workflows," Proceedings of the Eleventh International Conference on Advanced Information Systems Engineering, Heidelberg, Germany, 1999, pp. 417-421.

Examiner Signature  /Neil Kardos/	Date Considered  11/16/2007
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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13909-026001	Application No. 10/628,565
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Karsten Schulz, et al.	
		Filing Date July 29, 2003	Group Art Unit 2122

<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>		
Examiner Initial	Desig. ID	Document
	AQ	Klingemann, J. et al, "Deriving Service Models in Cross-Organizational Workflows," Proceedings of the Ninth International Workshop on Research Issues in Data Engineering – IT for Virtual Enterprises, 1999, pp. 100-107.
	AR	Leymann, F. et al, "Web Services Flow Language (WSFL) 1.0," IBM Technical Report, 2001, 107 pgs.
	AS	Object Management Group, "CORBA/SOAP Interworking – Request for Proposal," Technical Report orbos/00-09-07, 2000, 23 pgs.
	AT	Object Management Group, "Final Report of the Workflow Management Facility Revision Task Force 1.2," Technical Report dtc/99-07-04, 1999, 58 pgs.
	AU	Object Management Group, "Naming Service Specification," Technical Report, 2001.
	AV	Object Management Group, "Trading Object Service Specification, Version 1.0," Technical Report, 2000.
	AW	Object Management Group, "A UML Profile for Enterprise Distributed Object Computing," Technical Report ad/2001-06-09, 2001, 445 pgs.
	AX	Segall, B. et al, "Content Based Routing with Elvin4," Proceedings AUUG2k, Canberra, Australia, 2000.
	AY	Sladek, A. et al, "Modeling Inter-Organisational Workflows," Proceedings of the International Symposium on Applied Corporate Computing, 1996, pp. 13-22.
	AZ	Steinmann, R. et al, "Demonstration of a virtual LSE Enterprise – Vega 5th Review Demonstration," Technical Report, ESPRIT Vega EP 20408, 1999, 34 pgs.
	AAA	Steinmann, R. et al, "Virtual Enterprises Using Groupware Tools and Distributed Architectures - Public Final Report," <a href="http://cie.sop.cstb.fr/NLC/ecprojec/">http://cie.sop.cstb.fr/NLC/ecprojec/</a> , Technical Report PFR-01, ESPRIT Vega EP 20408, 1999, 56 pgs.
	ABB	UDDI.org, "UDDI Programmer's API Specification," Technical Report, 2000, 60 pgs.
	ACC	UDDI.org, "UDDI Technical Whitepaper," Technical Report, 2000, 12 pgs.
	ADD	W3C, "Simple Object Access Protocol (SOAP) 1.1," Technical Report, 2000.
	AEE	W3C, "Web Services Description Language (WSDL) 1.1," <a href="http://www.w3.org/TR/wsdl">http://www.w3.org/TR/wsdl</a> , Technical Report, 2001.
	AFF	Workflow Management Coalition, "Interface 1: Process Definition Interchange Process Model Specification, Version 1.1," Technical Report WFMC-TC-1016, 1999, 103 pgs.
	AGC	Workflow Management Coalition, "Successful Demonstration of Workflow Interoperability a Major Milestone," <a href="http://www.aiim.org/wfmc/prl1996-07-09.htm">http://www.aiim.org/wfmc/prl1996-07-09.htm</a> , 1996.
	AHH	Workflow Management Coalition, "The Workflow Reference Model," Technical Report WFMC-TC-1003, 1995, 55 pgs.
	AII	Workflow Management Coalition, "Workflow Standard – Interoperability – Abstract Specification, Version 1.0," Technical Report WFMC-TC-1012, 1996, 68 pgs.
	AJJ	Workflow Management Coalition, "Workflow Standard – Interoperability – WFXML Binding Version 1.1," Technical Report WFMC-TC-1023, 2001, 57 pgs.

Examiner Signature  /Neil Kardos/	Date Considered  11/16/2007
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